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# Stereoselective  $\alpha$ -amidoalkylation of phenylglycinol-derived lactams. Synthesis of enantiopure 5,6-disubstituted 2-piperidones

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Abstract—The stereochemical outcome of *x*-amidoalkylation reactions of chiral nonracemic bicyclic lactams 2b and 2c with indole, allyltrimethylsilane, TMSCN and Grignard reagents to gain access to enantiopure 5,6-disubstituted 2-piperidones is discussed. © 2006 Elsevier Ltd. All rights reserved.

#### 1. Introduction

Owing to the relevance of piperidine-containing bioactive compounds, the development of new methodologies giving access to diversely substituted enantiopure piperidines con-tinues to be a subject of considerable interest.<sup>[1](#page-7-0)</sup> In previous papers, we have reported the stereoselective synthesis of 6 substituted 2-piperidones by  $\alpha$ -amidoalkylation reactions of the simple trans  $H_3-H_{8a} (R)$ -phenylglycinol-derived lactam 1. [2](#page-7-0) We demonstrated that carbon nucleophiles in the presence of Lewis acids, such as  $TiCl<sub>4</sub>$  and  $BF<sub>3</sub>Et<sub>2</sub>O$ , lead to compounds with an inversion of configuration at the C-8a stereocentre, whereas Grignard reagents afford 6-substituted 2-piperidones with retention of configuration (Scheme  $1$ ).<sup>[3](#page-7-0)</sup> Moreover, we observed that the *cis*-epimer of lactam 1, under the same reaction conditions, gave complex mixtures or starting materials.

Herein we report the extension of the above methodology from chiral lactams bearing a substituent at the C-8 posi-



Scheme 1.

tion, that is, next to the electrophilic carbon of the oxazolidine ring.

## 2. Results and discussion

## 2.1. Preparation of C-8 substituted bicyclic lactams

Cyclocondensation of  $(R)$ -phenylglycinol with racemic methyl 4-formylhexanoate stereoselectively afforded the cis  $H_3-H_{8a}$  lactam 2a, through a process that involves dynamic kinetic resolution of the racemic substrate. Minor amounts of the *trans*  $H_3-H_{8a}$  isomer 2b and, in some cases, its C-8a epimer 2c were also formed.<sup>[4](#page-7-0)</sup> Thus, when a toluene solution of methyl 4-formylhexanoate and  $(R)$ -phenylglycinol was heated at reflux, a 63:25:12 mixture of isomers 2a, 2b and 2c, respectively, was formed. However, when an Et<sub>2</sub>O solution of starting materials containing anhydrous  $Na<sub>2</sub>SO<sub>4</sub>$  was stirred at 0 °C and the resulting mixture heated under vacuum (10–15 mm Hg) at 70 °C, lactams 2a and 2b were isolated in a 90:10 ratio, respectively (see Scheme 2).



Scheme 2. Reagents and conditions: (i) toluene, reflux, 18 h, 80% (2a/2b/ **2c** 63:25:12) or Et<sub>2</sub>O, anhyd Na<sub>2</sub>SO<sub>4</sub>, 0 °C, 1 h, then 70 °C, 10–15 mm Hg, 76% (2a/2b ratio 90:10).

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Table 1. Equilibration of lactam 2a

Reagents and conditions	2а	2h	2c
$TiCl4$ (2 equiv), $CH2Cl2$ , 7 h, reflux	64		32
TFA (10 equiv), $CH_2Cl_2$ , 24 h, reflux	60		35
TFA $(10 \text{ equiv})$ , CH <sub>2</sub> Cl <sub>2</sub> , 64 h, reflux	57	14	29
3 N MeOH-HCl, 25 h, 25 °C	28	70.	

As could be expected from previous observations,  $2c$  all attempts to carry out a-amidoalkylation reactions from lactam 2a using a variety of conditions failed. In all cases, only starting material was recovered, thus confirming the reluctance of *cis*  $H_3$ – $H_{8a}$  phenylglycinol-derived lactams to undergo a-amidoalkylation reactions.

To gain access to *trans*  $H_3-H_{8a}$  bicyclic lactams, we studied the isomerization of the major cis-isomer 2a under acidic conditions (Table 1). Heating at reflux temperature a  $CH_2Cl_2$  solution of 2a in the presence of TiCl<sub>4</sub> or TFA for 7 or 24 h, respectively, brought about isomerization of the C-8a stereocentre, affording mixtures of 2a and 2c in a diastereomeric ratio of about 2:1, along with minor amounts of 2b. When the solution of 2a and TFA was heated for longer reaction times (64 h), an increase in the ratio of the isomer 2b was observed. Finally, changing the acidic conditions to a 3 M HCl methanolic solution dramatically increased the ratio of isomer 2b, which became the major product, and only trace amounts of 2c were detected. This isomerization can be rationalized by taking into account that *trans*  $H_3-H_{8a} (R)$ -phenylglycinol-derived lactams, such as 2b and 2c, are more stable than the cis-iso-mers<sup>[5](#page-7-0)</sup> and that, due to the conformational rigidity of the bicyclic system, the ethyl substituent is pseudoaxial in isomer 2c, whereas in 2b it is pseudoequatorial. Under acidic conditions, fragmentation of the C–O bond of cis lactam 2a takes place, leading to acyliminium cation A, which, after closure of the oxazolidine ring, affords the trans-isomer 2c. A is in equilibrium with its epimer C through the acyl enamine B. Closure of the oxazolidine ring from C gives the *trans*-isomer  $2b$  (Scheme 3).<sup>[6](#page-7-0)</sup>



Scheme 3.

#### 2.2. a-Amidoalkylation reactions of lactams 2b and 2c

Lactam 2b proved to be more reluctant to undergo  $\alpha$ -amidoalkylation than the analogous de-ethyl bicyclic lactam 1. In fact, all attempts to carry out reactions on 2b using higher order cyanocuprates  $[R_2Cu(CN)L_2]$  under acidic conditions failed, while the other amidoalkylations studied (Table 2) required longer reaction times or higher temperatures than those needed for 1.

The reaction of 2b with indole in the presence of  $TiCl<sub>4</sub>$  led exclusively to *trans*-5-ethyl-6-indolylpiperidone 3a, in which the configuration at the C-8a position remained unchanged (entry 1). However, the addition of allyltrimethylsilane using the same Lewis acid occurred with an inversion of configuration, to afford a cis-5,6-disubstituted piperidone 4b (entry 2). A similar stereochemical result was observed when trimethylsilyl cyanide was used as the nucleophile. In this case, after a longer reaction time (22 h), cis-isomer 5b was obtained in moderate yield along with starting material (entry 3). In contrast,  $\alpha$ -amidoalkylation of lactam 2b using alkyl (entries 5 and 6), aryl (entry [7](#page-7-0)), vinyl (entry 8) or allyl (entry 9),<sup>7</sup> Grignard reagents took place with retention of the configuration at the C-8a stereocentre, stereoselectively affording the corresponding 5,6-trans isomers a.

Table 2. Stereoselective  $\alpha$ -amidoalkylation reactions from lactam 2b

 $\mathsf{C_6H_5}$ 



OH C6H5

 $C_6H_5$ <sub> $\sim$ OH</sub>

<sup>a</sup> a:b (or b:a for 4 and 5) ratio >95:5.<br><sup>b</sup> The triallylated product 4c was isolated in 34% yield (see Section 4).



#### Scheme 4.

The stereochemical outcome of the above  $\alpha$ -amidoalkylations can be explained by considering that, when a Lewis acid such as  $TiCl<sub>4</sub>$ , is used (entries 2 and 3), the reaction takes place through an acyliminium species, which undergoes attack of the nucleophile from the less hindered face to give cis-products b (Scheme 4). An explanation for the opposite stereoselectivity in the reaction with indole (entry 1) is that the stereogenic centre at the 6-position in 6-(3 indolyl)-2-piperidones is configurationally labile under acidic conditions, $2a,8$  ultimately leading to the thermodynamically more stable trans-5-ethyl-6-(3-indolyl) isomer.

In contrast, Grignard reagents coordinate with the oxygen atom of the oxazolidine ring, weakening the C–O bond. The subsequent delivery of the alkyl or aryl group on the incipient acyliminium salt takes place from the same face of the C–O bond, affording 5,6-trans products a, with retention of the configuration at the C-8a stereocentre. Consequently, the pseudoequatorial ethyl substituent in lactam 2b does not modify the stereochemical outcome already observed in the a-amidoalkylation reactions from the de-ethyl lactam 1.

To analyze the influence of the spatial disposition of the ethyl substituent on the stereoselectivity of the reaction,

Table 3. Stereoselective  $\alpha$ -amidoalkylation reactions from lactam 2c

	$\sim$ $\frac{1}{2}$ Reagent O 8a 2c	$C_6H_5$ <sub><math>\alpha</math>,</sub> $C_6H_{5\,$ ЮH ⊥R $\ddot{}$ а b	ЮH	
Entry	Reagents	R	Yield (%)	Product <sup>a</sup>
	Indole, TiCl <sub>4</sub>	$3-In$	45	11 <sub>b</sub>
$\overline{2}$	$CH2=CH-CH2SiMe3$	$CH2-CH=CH2$	64	12 <sub>b</sub>
3	TiCl <sub>4</sub> $C_6H_5MgBr$	$C_6H_5$	61	13a

<sup>a</sup> **b**:a (or a:b for 13) ratio >95:5.

 $C.H$ 

we next studied some a-amidoalkylation reactions upon lactam 2c, the C-8 epimer of 2b.

In accordance with the above results, the reaction of lactam 2c with either indole or allyltrimethylsilane in the presence of TiCl<sub>4</sub> also took place with inversion of the C-8a stereocentre to give the respective trans-5,6-disubstituted piperidones 11b and 12b (Table 3; entries 1 and 2), whereas phenylmagnesium bromide afforded the cis-isomer 13a (entry 3), with retention of the configuration.

The absolute configuration of the stereogenic centre generated in the above  $\alpha$ -amidoalkylation reactions leading to 5,6-disubstituted piperidones 3–13 was assigned (Table 4) by correlation of their NMR data with those reported for their respective 5-de-ethyl derivatives.[2](#page-7-0) The configuration of 7a was unambiguously confirmed by X-ray crystallographic analysis $9$  (Fig. 1).

# 2.3. Synthesis of enantiopure cis- and trans-5,6-disubstituted 2-piperidones

With a method in hand for the expeditious preparation of the disubstituted lactams 3–13, we undertook the removal of the chiral inductor to gain access to enantiopure N-unsubstituted cis- and trans-5,6-disubstituted-2-piperidones.

The chemoselective reduction of the 5,6-trans piperidones 3a, 7a and 9a to the corresponding N-unsubstituted



Figure 1. X-ray structure of  $(5R, 6S)$ -5,6-diethyl-1- $[(1R)$ -2-hydroxy-1phenylethyl]-2-piperidone 7a.

Table 4. Significant <sup>13</sup>C NMR data of C-6 substituted 5-ethyl-1-[(1R)-2-hydroxy-1-phenylethyl]-2-piperidones 3–13

	3a	4a	4b	5 <sub>b</sub>	6a	7a	<b>8a</b>	9a	10a	11b	12b	13a
	172.8	172.5	172.0	171.0	171.8	172.6	172.3	172.0	172.3	172.5	172.0	171.6
	28.5	28.5	30.8	31.1	28.5	28.6	28.5	28.7	29.0	30.0	28.9	31.5
	20.8	20.3	21.8	23.2	20.5	20.6	20.7	19.8	21.0	21.2	20.3	20.7
	40.0	35.5	40.8	39.0	40.5	35.1	35.6	42.4	40.2	40.1	35.6	40.7
6	53.5	59.0	61.0	51.0	54.4	61.6	59.1	61.4	60.7	61.4	61.4	60.9
1'	59.8	63.0	67.2	60.6	61.7	63.1	62.5	60.0	60.2	68.1	66.3	59.9
$2^{\prime}$	63.0	63.7	63.8	62.0	63.0	63.9	63.4	62.1	63.0	64.9	64.4	61.9
CH <sub>2</sub>	23.2	24.4	25.4	25.0	24.2	24.6	24.5	23.7	23.7	23.9	24.5	24.9
CH <sub>3</sub>	11.5	11.5	11.6	11.1	11.3	11.5	11.4	11.3	11.3	11.4	11.4	11.3





derivatives 14, 15 and 16 was accomplished in good yields  $(72–84%)$  with Na/liq NH<sub>3</sub>. However, under these conditions vinyl piperidone 10a furnished the expected compound 17 in low yield, while the major product (73%)  $(4R)$ -4-ethyl-5-heptenamide, was formed by radical opening of the lactam ring. A similar debenzylation from the cisderivatives 4b and 13a led to the respective cis-5,6-disubstituted piperidones 18 and 19 in good yields (see Scheme 5).

#### 3. Conclusions

In conclusion, starting from racemic methyl 4-formylhexanoate and  $(R)$ -phenylglycinol, diversely *cis*- and *trans*-5ethyl-6-substituted-2-piperidones have been stereoselectively prepared in enantiopure form. The key steps are a cyclocondensation of the chiral aminoalcohol with the racemic aldehyde–ester and a subsequent a-amidoalkylation. By choosing the appropriate nucleophile and reaction conditions in the latter reaction we ensured the stereocontrolled formation of a C–C bond at the C-6 position, providing access to either cis- or trans-5,6-disubstituted derivatives. The presence of the C-8 ethyl substituent has no significant effect on the stereochemical outcome of the a-amidoalkylation reaction.

#### 4. Experimental

## 4.1. General

All reactions were performed under either an argon or nitrogen atmosphere with dry, freshly distilled solvents using standard procedures. Drying of organic extracts during the work-up of reactions was performed over anhydrous  $Na<sub>2</sub>SO<sub>4</sub>$  or  $MgSO<sub>4</sub>$ . Evaporation of solvents was accomplished with a rotatory evaporator. Thin-layer chromatography was carried out on  $SiO<sub>2</sub>$  (silica gel 60  $F<sub>254</sub>$ ) or Florisil®, and the spots were located by UV and either a 1% KMnO4 solution or iodine. Chromatography refers to flash column chromatography and was carried out on  $SiO<sub>2</sub>$  (silica gel 60, 230–400 mesh). Melting points were determined in a capillary tube and are uncorrected. Unless otherwise indicated NMR spectra were recorded in CDCl<sub>3</sub>. Only noteworthy IR absorptions  $(cm<sup>-1</sup>)$  are listed. Mass spectra (MS) data are reported as  $m/z$  (%). High resolution mass spectra (HMRS) were performed in Unidade de Espectrometria de Masas, Santiago de Compostela. Microanalyses were performed by Centre d'Investigació i Desenvolupament (CSIC), Barcelona.

# 4.2. (5R,6R)-5-Ethyl-1-[(1R-2-hydroxy-1-phenylethyl]-6- (3-indolyl)-2-piperidone, 3a

Indole (858 mg, 7.32 mmol) and  $TiCl_4$  (0.27 mL, 2.44 mmol) were added to a solution of  $2b$  (300 mg, 1.22 mmol) in  $CH_2Cl_2$  (7 mL). The mixture was stirred at rt for 5 h. The reaction was quenched by the addition of saturated  $NAHCO<sub>3</sub>$ , and the mixture extracted with  $CH<sub>2</sub>Cl<sub>2</sub>$ . The combined organic extracts were dried and concentrated, and the resulting residue chromatographed  $(SiO<sub>2</sub>, EtOAc)$  to give 3a (340 mg, 77%): IR (NaCl) 3288,  $2957, 1607$  cm<sup>-1</sup>; <sup>1</sup>H NMR (300 MHz, COSY, HETCOR):  $\delta$  0.61 (t, J = 7.2 Hz, 3H, CH<sub>3</sub>CH<sub>2</sub>), 1.12–1.29 (m, 2H,  $CH_3CH_2$ ), 1.43 (m, 1H, H-4), 1.70 (m, 1H, H-5), 1.98 (m, 1H, H-4), 2.54–2.59 (m, 2H, H-3), 3.46 (br s, 1H, OH), 3.81–3.94 (m, 2H, H-2'), 4.56 (d,  $J = 1.8$  Hz, 1H, H-6), 6.07 (dd,  $J = 9.6$ , 5.1 Hz, 1H, H-1'), 7.05–7.33 (m, 9H, HAr), 7.40 (d,  $J = 8.1$  Hz, 1H, HAr), 8.79 (br s, 1H, NH); <sup>13</sup>C NMR (75.4 MHz):  $\delta$  11.5 (CH<sub>3</sub>CH<sub>2</sub>), 20.8 (C-4), 23.2 (CH3CH2), 28.5 (C-3), 40.0 (C-5), 53.5 (C-6), 59.8 (C-1'), 63.0 (C-2'), 111.6 (CHAr), 117.6 (CHAr), 117.9 (CHAr), 119.3 (CHAr), 122.0 (CHAr), 122.7 (CHAr), 124.8 (CHAr, C-3a), 128.2 (CHPh), 128.5 (2CHPh), 129.1 (2CHPh), 136.3, 136.5 (C i, C-7a), 172.8 (NCO); mp 180–181 °C (EtOAc–Et<sub>2</sub>O–MeOH);  $[\alpha]_D^{22} =$  $-34.8$  (c 1.03, MeOH);  $m/z$  362 (M<sup>+</sup>, 21), 344 (50), 331 (66), 226 (100). Anal. Calcd for  $C_{23}H_{26}N_2O_2$ : C, 76.21; H, 7.23; N, 7.73. Found: C, 75.84; H, 7.32; N, 7.59.

## 4.3. (5R,6R)-6-Allyl-5-ethyl-1-[(1R)-2-hydroxy-1-phenylethyl]-2-piperidone, 4b

Allyltrimethylsilane (1.16 mL,  $7.32$  mmol) and TiCl<sub>4</sub> (0.27) mL, 2.44 mmol) were added to a solution of 2b (300 mg, 1.22 mmol) in  $CH_2Cl_2$  (10 mL). The mixture was stirred at room temperature for 23 h. The reaction was quenched by the addition of saturated aqueous  $NaHCO<sub>3</sub>$ , and the mixture extracted with  $CH<sub>2</sub>Cl<sub>2</sub>$ . The combined organic extracts were dried and concentrated, and the resulting residue was chromatographed (Florisil<sup>®</sup>, AcOEt) to give  $4b$  $(291 \text{ mg}, 83%)$ : IR (NaCl) 3374, 2959, 1620 cm<sup>-1</sup>; <sup>1</sup>H NMR (300 MHz, COSY, HETCOR):  $\delta$  0.83 (t, J = 7.8 Hz, 3H,  $CH_3CH_2$ ), 1.21–1.33 (m, 2H,  $CH_3CH_2$ ), 1.59 (m, 1H, H-4), 1.70 (m, 1H, H-5), 1.83 (m, 1H, H-4), 2.14  $(m, 1H, CH<sub>2</sub>CH=), 2.33$   $(m, 1H, CH<sub>2</sub>CH=), 2.53-2.59$  $(m, 2H, H-3), 3.28$  (dd,  $J = 9.6, 5.4$  Hz, 1H, H-6), 4.04  $(dd, J=12.0, 3.6 Hz, 1H, H-2', 4.14 (dd, J=12.0,$ 6.9 Hz, 1H, H-2'), 4.71 (dd,  $J = 6.9$ , 3.6 Hz, 1H, H-1'), 5.00 (br s, 1H, CH<sub>2</sub>=), 5.04 (d,  $J = 7.2$  Hz, 1H, CH<sub>2</sub>CH=), 5.70 (m, 1H, CH<sub>2</sub>=), 7.24–7.36 (m, 5H, HAr); <sup>13</sup>C NMR (75.4 MHz):  $\delta$  11.6 (CH<sub>3</sub>CH<sub>2</sub>), 21.8 (C-4), 25.4 (CH<sub>3</sub>CH<sub>2</sub>),

30.8 (C-3), 34.3 ( $CH_2CH=CH_2$ ), 40.8 (C-5), 61.0 (C-6), 63.8 (C-2'), 67.2 (C-1'), 117.3 (CH=CH<sub>2</sub>), 127.4 (CHAr), 127.5 (2CHAr), 128.3 (2CHAr), 135.1 (CH=CH<sub>2</sub>), 137.1 (C i), 172.0 (NCO);  $m/z$  288 (M<sup>+</sup>+1, 21), 246 (32), 216 (2), 168 (13), 126 (100); HRMS calcd for  $C_{18}H_{25}NO_2$  $(M^+$ +1) 288.1963, found 288.1953.

# 4.4. (5R,6S)-6-Cyano-5-ethyl-1-[(1R)-2-hydroxy-1-phenylethyl]-2-piperidone, 5b

Trimethylsilyl cyanide (0.53 mL, 4.24 mmol) and titanium tetrachloride (0.23 mL, 2.12 mmol) were added to a solution of  $2b$  (260 mg, 1.06 mmol) in  $CH_2Cl_2$  (10 mL). The mixture was then refluxed for 22 h. The reaction was quenched by the addition of saturated aqueous NaHCO<sub>3</sub>, and the mixture extracted with  $CH_2Cl_2$ . The combined organic extracts were dried and concentrated, and the resulting residue was chromatographed (Florisil<sup>®</sup>, 7:3 EtOAc–hexane to EtOAc) to give 5b (107 mg, 37%) and 2b (119 mg). Compound 5b: IR (NaCl) 3404, 2963, 1640  $\text{cm}^{-1}$ ; <sup>1</sup>H NMR (400 MHz, COSY, HETCOR): δ 0.94 (t,  $J = 7.6$  Hz, 3H, CH<sub>3</sub>CH<sub>2</sub>), 1.47 (m, 2H, CH<sub>3</sub>CH<sub>2</sub>), 1.74 (m, 1H, H-4), 1.91–1.98 (m, 2H, H-4, H-5), 2.55 (m, 1H, H-3), 2.71 (dd,  $J = 8.0$ , 1.6 Hz, 1H, H-3), 3.45 (br s, 1H, OH), 4.12 (m, 2H, H-2'), 4.31 (m, 1H, H-6), 5.56 (t,  $J = 5.6$  Hz, 1H, H-1'), 7.33–7.40 (m, 5H, HAr). <sup>13</sup>C NMR (100.6 MHz):  $\delta$  11.1 (CH<sub>3</sub>CH<sub>2</sub>), 23.2 (C-4), 25.0  $(CH_3CH_2)$ , 31.1 (C-3), 39.0 (C-5), 51.0 (C-6), 60.6 (C-1'), 62.0 (C-2'), 116.0 (CN), 128.5 (CHAr), 128.6 (2CHAr), 128.9 (2CHAr), 135.2 (C *i*), 171.0 (NCO);  $[\alpha]_D^{22} = -16.0$  $(c \ 0.18, \ \text{MeOH})$ ;  $m/z \ 273 \ (M^+ + H, \ 2), \ 254 \ (38), \ 241 \ (67),$ 225 (23), 214 (51), 120 (44). Anal. Calcd for  $C_{16}H_{20}N_2O_2$  1/3H<sub>2</sub>O: C, 69.06; H, 7.48; N, 10.07. Found: C, 68.80; H, 7.50; N, 9.83. HRMS calcd for  $C_{16}H_{20}N_2O_2$ 272.1525, found 272.1515.

## 4.5. General procedure for the reaction of lactam 2b with Grignard reagents

The Grignard reagent (6 equiv) was added to a cooled  $(0 °C)$  solution of 2b (1 equiv) in THF (2 mL) and the mixture stirred at this temperature for 18 h. The reaction was quenched by the addition of saturated aqueous NaCl, and the mixture extracted with EtOAc. The combined extracts were dried and concentrated.

4.5.1. (5R,6S)-5-Ethyl-1-[(1R)-2-hydroxy-1-phenylethyl]-6 methyl-2-piperidone, 6a. Operating as described in the general procedure, from 2b (300 mg, 1.22 mmol) and methylmagnesium chloride (3 M in THF, 2.44 mL, 7.32 mmol) a residue was obtained. Purification by column chromatography (Florisil<sup>®</sup>, 1:1 EtOAc–hexane to EtOAc) gave **6a**  $(243 \text{ mg}, 76\%)$ : IR (NaCl) 3380, 2960, 1618 cm<sup>-1</sup>; <sup>1</sup>H NMR (300 MHz, COSY, HETCOR):  $\delta$  0.66 (t,  $J = 7.2$  Hz, 3H, CH<sub>3</sub>CH<sub>2</sub>), 1.03-1.21 (m, 2H, CH<sub>3</sub>CH<sub>2</sub>), 1.22 (d,  $J = 6.3$  Hz, 3H, CH<sub>3</sub>), 1.39 (m, 1H, H-5), 1.54 (m, 2H, H-4), 2.03 (m, 1H, H-4), 2.42–2.47 (m, 2H, H-3), 3.16 (m, 1H, H-6), 3.59 (br s, 1H, OH), 4.14 (dd,  $J = 11.4$ , 5.1 Hz, 1H, H-2'), 4.25 (dd,  $J = 11.4$ , 8.7 Hz, 1H, H-2'), 5.39 (dd,  $J = 8.1$ , 5.1 Hz, 1H, H-1'), 7.26–7.37 (m, 5H, HAr); <sup>13</sup>C NMR (75.4 MHz):  $\delta$  11.3 (CH<sub>3</sub>CH<sub>2</sub>), 20.5 (C-4), 22.5 (CH<sub>3</sub>), 24.2 (CH<sub>3</sub>CH<sub>2</sub>), 28.5 (C-3), 40.5

(C-5), 54.4 (C-6), 61.7 (C-1'), 63.0 (C-2'), 127.4 (CHAr), 128.1 (2CHAr), 128.2 (2CHAr), 137.0 (C i), 171.8 (NCO); mp 109-111 °C (EtOAc-Et<sub>2</sub>O);  $[\alpha]_D^{22} = -2.9$  (c 0.48, MeOH); m/z 262 (M+1, 1), 243 (12), 230 (56), 216 (5). Anal. Calcd for C16H23NO2: C, 73.53; H, 8.87; N, 5.36. Found: C, 73.56; H, 8.94; N, 5.28.

4.5.2. (5R,6S)-5,6-Diethyl-1-[(1R)-2-hydroxy-1-phenylethyl]-2-piperidone, 7a. Operating as described in the general procedure, from 2b (320 mg, 1.30 mmol) and ethylmagnesium bromide (1 M in THF, 3.90 mL, 3.90 mmol), a residue was obtained. Purification by column chromatography  $(SiO<sub>2</sub>, 7:3 EtOAc–hexane to EtOAc)$  gave **7a** (226 mg, 63%): IR (NaCl) 3372, 2960, 1618 cm<sup>-1</sup>  $\frac{1}{2}$  H NMR (300 MHz, COSY, HETCOR):  $\delta$  0.66 (t, J = 7.5 Hz, 3H, CH<sub>3</sub>CH<sub>2</sub>), 0.79 (t,  $J = 7.5$  Hz, 3H, CH<sub>3</sub>CH<sub>2</sub>), 1.04 (m, 1H, CH<sub>3</sub>CH<sub>2</sub>), 1.17 (m, 1H, CH<sub>3</sub>CH<sub>2</sub>), 1.46– 1.54 (m, 2H, CH<sub>2</sub>CH<sub>3</sub>), 1.55–1.60 (m, 1H, H-5), 1.68 (m, 1H,  $CH_2CH_3$ ), 1.96 (m, 1H, H-4), 2.40–2.45 (m, 2H, H-3), 2.87 (app d,  $J = 10.8$  Hz, 1H, H-6), 3.59 (br s, 1H, OH), 4.13 (dd,  $J = 11.4$ , 4.8 Hz, 1H, H-2'), 4.24 (dd,  $J = 11.4$ , 8.4 Hz, 1H, H-2'), 5.31 (dd,  $J = 8.4$ , 4.8 Hz, 1H, H-1'), 7.26–7.37 (m, 5H, HAr); <sup>13</sup>C NMR (75.4 MHz):  $\delta$ 10.6 (CH<sub>3</sub>CH<sub>2</sub>), 11.5 (CH<sub>3</sub>CH<sub>2</sub>), 20.6 (C-4), 24.6 (CH<sub>3</sub>CH<sub>2</sub>), 27.5 (CH<sub>2</sub>CH<sub>3</sub>), 28.6 (C-3), 35.1 (C-5), 61.6  $(C-6)$ , 63.1  $(C-1')$ , 63.9  $(C-2')$ , 128.0  $(CHAr)$ , 128.2 (2CHAr), 128.4 (2CHAr), 137.0 (C i), 172.6 (NCO); mp 109–110<sup>°</sup>C (EtOAc, Et<sub>2</sub>O, MeOH);  $\left[\alpha\right]_D^{22} = -48.9$  (c<sup>o</sup> 0.27, MeOH);  $m/z$  257 (M<sup>+-</sup>-H<sub>2</sub>O), 244 (72), 228 (10), 216 (20), 200 (5), 126 (60). Anal. Calcd for  $C_{17}H_{25}NO_2$ : C, 74.14; H, 9.15; N, 5.09. Found: C, 73.94; H, 9.18; N, 4.94.

4.5.3. (5R,6S)-5-Ethyl-1-[(1R)-2-hydroxy-1-phenylethyl]-6 propyl-2-piperidone, 8a. Operating as described in the general procedure, from 2b (300 mg, 1.22 mmol) and propylmagnesium chloride  $(2 M \text{ in } Et_2O, 3.66 \text{ mL}, 7.32 \text{ mmol})$ a residue was obtained. Purification by column chromatography  $(SiO<sub>2</sub>, 7:3 EtOAc–hexane to EtOAc)$  gave 8a  $(23\overline{3} \text{ mg}, 66\%): \text{ IR} \text{ (NaCl)} 3376, 2958, 1620 \text{ cm}^{-1}; \text{ }^1\text{H}$ NMR (300 MHz, COSY, HETCOR):  $\delta$  0.64 (t, J = 7.5 Hz, 3H, CH<sub>3</sub>CH<sub>2</sub>), 0.84 (t,  $J = 7.5$  Hz, 3H, CH<sub>3</sub>CH<sub>2</sub>), 0.97–1.35 (m, 4H, 2CH<sub>3</sub>CH<sub>2</sub>), 1.51 (m, 1H, H-5), 1.55– 1.59 (m, 3H, CHC $H_2$ CH<sub>2</sub>, H-4), 1.98 (dddd,  $J = 13.8$ , 13.8, 9.0, 4.8 Hz, 1H, H-4), 2.41 (dd,  $J = 8.4$ , 5.7 Hz, 2H, H-3), 2.98 (m, 1H, H-6), 3.96 (br s, 1H, OH), 4.13 (dd,  $J = 11.4$ , 5.1 Hz, 1H, H-2'), 4.25 (dd,  $J = 11.4$ , 8.1 Hz, 1H, H-2'), 5.35 (dd,  $J = 8.1$ , 5.1 Hz, 1H, H-1'), 7.26–7.34 (m, 5H, HAr); <sup>13</sup>C NMR (75.4 MHz):  $\delta$  11.4 (CH<sub>3</sub>CH<sub>2</sub>), 13.7 ( $CH_3CH_2CH_2$ ), 19.3 ( $CH_3CH_2$ ), 20.7 (C-4), 24.5  $(CH_3CH_2)$ , 28.5 (C-3), 35.6 (C-5), 36.7 (CH<sub>3</sub>CH<sub>2</sub>CH<sub>2</sub>), 59.1 (C-6), 62.5 (C-1'), 63.4 (C-2'), 127.5 (CHAr), 128.2 (2CHAr), 128.3 (2CHAr), 137.0 (C i), 172.3 (NCO); mp  $70-71$  °C (EtOAc);  $[\alpha]_D^{22} = -34.8$  (c 1.03, MeOH);  $m/z$ 271 ( $M^+$ -H<sub>2</sub>O, 4), 258 (63), 246 (3), 216 (16), 170 (21). Anal. Calcd for  $C_{18}H_{27}NO_2$ : C, 74.70; H, 9.40; N, 4.84. Found: C, 74.44; H, 9.35; N, 4.78.

4.5.4. (5R,6R)-5-Ethyl-1-[(1R)-2-hydroxy-1-phenylethyl]-6 phenyl-2-piperidone, 9a. Operating as described in the general procedure, from 2b (300 mg, 1.22 mmol) and phenylmagnesium bromide (1 M in THF, 7.32 mL, 7.32 mmol), a residue was obtained. Purification by column

chromatography (Florisil®, 1:1 EtOAc–hexane to EtOAc) gave 9a (292 mg, 74%): IR (NaCl) 3385, 2958, 1618  $\text{cm}^{-1}$ ; <sup>1</sup>H NMR (300 MHz, COSY, HETCOR):  $\delta$  0.58 (t,  $J = 7.5$  Hz, 3H, CH<sub>3</sub>CH<sub>2</sub>), 1.06–1.25 (m, 2H, CH<sub>3</sub>CH<sub>2</sub>), 1.38–1.51 (m, 2H, H-4, H-5), 1.87 (m, 1H, H-4), 2.53– 2.60 (m, 2H, H-3), 2.89 (br s, 1H, OH), 3.69 (app d,  $J = 6.9$  Hz, 2H, H-2'), 4.10 (d,  $J = 3.0$  Hz, 1H, H-6), 5.94  $(t, J = 7.8 \text{ Hz}, 1\text{H}, \text{ H-1}^{\prime})$ , 7.10–7.24 (m, 3H, HAr), 7.25– 7.35 (m, 7H, HAr);  $^{13}$ C NMR (75.4 MHz):  $\delta$  11.3  $(CH_3CH_2)$ , 19.8 (C-4), 23.7 (CH<sub>3</sub>CH<sub>2</sub>), 28.7 (C-3), 42.4 (C-5), 60.0 (C-1'), 61.4 (C-6), 62.1 (C-2'), 126.6 (2CHAr), 127.3 (CHAr), 127.9 (CHAr), 128.2 (2CHAr), 128.3 (2CHAr), 128.9 (2CHAr), 136.5 (C i), 142.5 (C i), 172.0 (NCO);  $m/z$  305 (M<sup>+</sup>-H<sub>2</sub>O, 3), 292 (10), 204 (4), 117 (27), 106 (100); HRMS calcd for  $C_{21}H_{26}NO_2$  324.1963, found 324.1960.

4.5.5. (5R,6S)-5-Ethyl-1-[(1R)-2-hydroxy-1-phenylethyl]-6 vinyl-2-piperidone, 10a. Operating as described in the general procedure, from 2b (500 mg, 2.04 mmol) and vinylmagnesium bromide (1 M in THF, 12.2 mL, 12.2 mmol) a residue was obtained. Purification by column chromatography (4:1 AcOEt–hexane to EtOAc) gave 10a (240 mg,  $\overrightarrow{43\%}$ : IR (NaCl) 3383, 2931, 1616 cm<sup>-1</sup>; <sup>1</sup>H NMR (300 MHz):  $\delta$  0.58 (t, J = 7.5 Hz, 3H, CH<sub>2</sub>CH<sub>3</sub>), 0.98– 1.23 (m, 2H, CH2CH3), 1.45 (m, 1H, H-5), 1.49 (m, 1H, H-4), 2.00 (m, 1H, H-4), 2.45 (m, 2H, H-3), 3.52 (dm,  $J = 7.2$  Hz, 1H, H-6), 4.07 (dd,  $J = 11.0$ , 6.0 Hz, 1H, H- $2'$ ), 4.20 (dd,  $J = 11.4$ , 9.0 Hz, 1H, H-2'), 5.10–5.17 (m, 2H, CH=C $H_2$ ), 5.76 (dd,  $J = 9.0$ , 6.0 Hz, 1H, H-1'), 5.87 (ddd,  $J = 17.4, 10.5, 7.2$  Hz, 1H, CH=CH<sub>2</sub>), 7.22–7.37 (m, 5H, HAr); <sup>13</sup>C NMR (75.4 MHz):  $\delta$  11.3 (CH<sub>3</sub>), 21.0  $(C-4)$ , 23.7  $(CH_2CH_3)$ , 29.0  $(C-3)$ , 40.2  $(C-5)$ , 60.2  $(C-1')$ , 60.7 (C-6), 63.0 (C-2'), 116.3 (CH=CH<sub>2</sub>), 127.8 (CHAr), 128.4 (2CHAr), 128.7 (2CHAr), 136.6 (C i), 140.4  $(CH=CH<sub>2</sub>), 172.3$  (NCO);  $[\alpha]_{D}^{22} = -142.2$  (c 0.18, MeOH);  $m/z$  274 (M<sup>+</sup>, 100), 256 (25), 242 (10), 154 (62); HRMS calcd for  $C_{17}H_{23}NO_2$  (M<sup>+</sup>+Na) 296.162, found 296.162.

4.5.6. (5R,6S)-6-Allyl-5-ethyl-1-[(1R)-2-hydroxy-1-phenylethyl]-2-piperidone, 4a. Operating as described in the general procedure, from 2b (100 mg, 0.41 mmol) and allylmagnesium bromide (1 M in Et<sub>2</sub>O, 2.45 mL, 2.45 mmol) a residue was obtained. Purification by column chromatography (Florisil®, 7:3 EtOAc–hexane to EtOAc) gave 4a (38 mg, 33%) and (5R,6S)-2,2,6-triallyl-5-ethyl-1-[(1R)-2 hydroxy-1-phenylethyl]piperidine (4c; 49 mg, 34%). Compound  $4a$ : IR (NaCl) 3355, 2932, 1619 cm<sup>-1</sup>; <sup>1</sup>H NMR (200 MHz):  $\delta$  0.66 (t, J = 7.5 Hz, 3H, CH<sub>3</sub>CH<sub>2</sub>), 1.02– 1.33 (m, 2H, CH<sub>3</sub>CH<sub>2</sub>), 1.50–1.70 (m, 3H, 2H-4, H-5), 1.96–2.37 (m, 2H, CH<sub>2</sub>CH=), 2.47 (app dd,  $J = 8.4$ , 5.6 Hz, 2, H-3), 3.05 (dm,  $J = 10.2$  Hz, 1H, H-6), 4.16 (dd,  $J = 11.5$ , 4.8 Hz, 1H, H-2'), 4.28 (dd,  $J = 11.5$ , 8.4 Hz, 1H, H-2'), 4.98–5.14 (m, 2H,  $CH_2=CH$ ), 5.34 (dd,  $J = 8.4$ , 4.8 Hz, 1H, H-1'), 7.26–7.40 (m, 5H, HAr); <sup>13</sup>C NMR (50.4 MHz):  $\delta$  11.5 (CH<sub>3</sub>CH<sub>2</sub>), 20.3 (C-4), 24.4  $(CH_3CH_2)$ , 28.5 (C-3), 35.5 (C-5), 39.3 (CH<sub>2</sub>CH=), 59.3  $(C-6)$ , 63.0  $(C-1')$ , 63.7  $(C-2')$ , 117.8  $(CH=CH_2)$ , 127.6 (CHAr), 128.2 (2CHAr), 128.5 (2CHAr), 134.0  $(CH=CH<sub>2</sub>),$  136.8 (C i), 172.5 (NCO);  $m/z$  269  $(M^+ - H_2O, 1)$ , 246 (11), 158 (3), 126 (100). Compound  $4c$ : IR (NaCl) 3042, 2930, 1618 cm<sup>-1</sup>; <sup>1</sup>H NMR

(300 MHz):  $\delta$  0.81 (t, J = 7.5 Hz, 3H CH<sub>3</sub>CH<sub>2</sub>), 0.89–1.40  $(m, 6H), 2.05-2.21$   $(m, 6H), 2.58$   $(ddd, J = 7.2, 7.2,$ 3.0 Hz, 1H, H-6), 3.50 (dd,  $J = 10.5$ , 9.0 Hz, 1H, H-2'), 3.63 (dd,  $J = 10.5$ , 4.5 Hz, 1H, H-2'), 3.77 (dd,  $J = 8.7$ , 4.8 Hz, 1H, H-1'), 5.02–5.13 (m, 6H,  $CH_2=CH$ ), 5.66– 5.86 (m, CH<sub>2</sub>=CH), 7.24–7.36 (m, 5H, HAr); <sup>13</sup>C NMR  $(75.4 \text{ MHz})$ :  $\delta$  12.2 (CH<sub>3</sub>), 22.4 (CH<sub>2</sub>), 22.7 (CH<sub>2</sub>), 35.2  $(CH_2)$ , 37.2  $(CH_2)$ , 43.1  $(CH)$ , 43.4  $(CH_2)$ , 43.6  $(CH_2)$ , 56.5 (C-6), 62.6 (C-1'), 66.6 (C-2'), 73.3 (C), 116.7  $(CH=CH<sub>2</sub>)$ , 118.3 (CH=CH<sub>2</sub>), 118.4 (CH=CH<sub>2</sub>), 127.4 (3CHAr), 128.4 (2CHAr), 133.7 (2CH=CH<sub>2</sub>), 136.5  $(CH=CH<sub>2</sub>)$ , 141.8 (C i); HRMS calcd for  $C<sub>24</sub>H<sub>36</sub>NO$  $(M^+ + 1)$  354.2791, found 354.2779.

4.5.7. (5S,6S)-5-Ethyl-1-[(1R)-2-hydroxy-1-phenylethyl]-6- (3-indolyl)-2-piperidone, 11b. Indole (288 mg, 2.46 mmol) and titanium tetrachloride (0.10 mL, 0.82 mmol) were added to a solution of  $2c(100 \text{ mg}, 0.41 \text{ mmol})$  in  $CH_2Cl_2$ (5 mL). The mixture was refluxed for 3 h,  $TiCl<sub>4</sub>$  (0.1 mL, 0.91 mmol) then added, and the mixture refluxed for an additional 5 h. The reaction was quenched by addition of saturated aqueous  $NaHCO<sub>3</sub>$ , and the mixture was extracted with  $CH<sub>2</sub>Cl<sub>2</sub>$ . The combined organic extracts were dried and concentrated, and the resulting residue chromatographed (Florisil®, 1:9 EtOAc–hexane to EtOAc) to give  $11b$  (55 mg, 55%): IR (NaCl) 3271, 1613 cm<sup>-1</sup>; <sup>1</sup>H NMR (300 MHz,  $COSY$ , HETCOR):  $\delta$  0.77 (t,  $J = 7.5$  Hz, 3H, CH<sub>3</sub>CH<sub>2</sub>), 1.26–1.38 (m, 2H, CH<sub>3</sub>CH<sub>2</sub>), 1.48 (dd,  $J = 13.5$ , 6.5 Hz, 1H, H-4), 1.93–2.00 (m, 2H, H-4, H-5), 2.62 (app t,  $J = 6.3$  Hz, 2H, H-3), 3.93 (dd,  $J = 12.3$ , 2.7 Hz, 1H, H-2'), 4.07 (dd,  $J = 12.3$ , 6.6 Hz, 1H, H-2'), 4.32 (dd,  $J = 6.6$ , 2.7 Hz, 1H, H-1'), 4.49 (d,  $J = 3.0$  Hz, 1H, H-6), 7.02–7.11 (m, 3H, HAr), 7.15–7.33  $(m, 6H, HAr), 7.41$  (d,  $J = 7.8$  Hz, 1H, HAr), 9.01 (br s, 1H, NH); <sup>13</sup>C NMR (100.6 MHz):  $\delta$  11.4 (CH<sub>3</sub>CH<sub>2</sub>), 21.2  $(C-4)$ , 23.9  $(CH_3CH_2)$ , 30.0  $(C-3)$ , 40.1  $(C-5)$ , 61.4  $(C-6)$ , 64.9 (C-2'), 68.1 (C-1'), 111.8 (CHAr), 115.7 (Car), 118.2 (CHAr), 119.5 (CHAr), 122.2 (CHAr), 123.0 (CHAr), 125.3 (Car), 127.5 (CHAr), 127.7 (2CHAr), 128.4 (2CHAr), 136.8 (Car), 137.7 (Car), 172.5 (NCO);  $[\alpha]_{\text{D}}^{22} = -5.4$  (c 0.11, MeOH);  $m/z$  344 (M<sup>+</sup>-H<sub>2</sub>O, 81), 315 (17), 225 (21), 196 (63), 183 (68), 168 (100).

4.5.8. (5S,6R)-6-Allyl-5-ethyl-1-[(1R)-2-hydroxy-1-phenylethyl]-2-piperidone, 12b. Operating as described in the preparation of 4b, from 2c (300 mg, 1.22 mmol), allyltrimethylsilane (1.16 mL,  $7.32$  mmol) and TiCl<sub>4</sub> (0.27 mL, 2.44 mmol) in  $CH_2Cl_2$  (10 mL), 12b (226 mg, 64%) and 2c (55 mg) were obtained after purification by column chromatography (Florisil<sup>®</sup>, 1:1 EtOAc–hexane to EtOAc). Compound 12b: IR (NaCl) 1620, 3380 cm<sup>-1</sup>; <sup>1</sup>H NMR (300 MHz, COSY, HETCOR):  $\delta$  0.70 (t,  $J = 7.5$  Hz, 3H,  $CH_3CH_2$ ), 1.15 (m, 1H, CH<sub>3</sub>CH<sub>2</sub>), 1.27 (m, 1H, CH<sub>3</sub>CH<sub>2</sub>), 1.57 (m, 1H, H-4), 1.67 (m, 1H, H-5), 1.98 (m, 1H, H-4), 2.19–2.27 (m, 2H, CH<sub>2</sub>CH=), 2.42 (dd,  $J = 7.8$ , 4.0 Hz, 1H, H-3), 2.44 (dd,  $J = 6.9$ , 4.0 Hz, 1H, H-3), 3.09 (m, 1H, H-6), 4.03 (dd,  $J = 12.0$ , 3.9 Hz, 1H, H-2'), 4.25 (dd,  $J = 12.0, 7.5$  Hz, 1H, H-2'), 4.66 (dd,  $J = 7.5, 3.9$  Hz, 1H, H-1'), 5.00 (dq,  $J = 17.0$ , 1.8 Hz, 1H, CH<sub>2</sub>=), 5.07 (m, 1H, CH<sub>2</sub>=), 5.59 (m, 1H, CH<sub>2</sub>CH=), 7.25–7.37 (m, 5H, HAr); <sup>13</sup>C NMR (75.4 MHz):  $\delta$  11.4 (CH<sub>3</sub>CH<sub>2</sub>), 20.3 (C-4), 24.5 (CH<sub>3</sub>CH<sub>2</sub>), 28.9 (C-3), 35.6 (C-5), 38.5 (CH<sub>2</sub>CH=),

61.4 (C-6), 64.4 (C-2'), 66.3 (C-1'), 118.0 (CH=CH<sub>2</sub>), 127.8 (CHAr), 128.1 (2CHAr), 128.4 (2CHAr), 134.0 (CH=CH<sub>2</sub>), 137.4 (C *i*), 172.0 (NCO);  $[\alpha]_D^{22} = -19.6$  (c 10.9, MeOH). Anal. Calcd for  $C_{18}H_{25}NO_{2}$  1/4H<sub>2</sub>O: C, 75.22; H, 8.77; N, 4.87. Found: C, 74.46; H, 8.80; N, 4.80. HMRS calcd for  $C_{18}H_{25}NO_2$  (M<sup>+</sup>+Na) 310.177, found 310.178.

4.5.9. (5S,6R)-5-Ethyl-6-phenyl-1-[(1R)-2-hydroxy-1-phenylethyl]-2-piperidone, 13a. Operating as described in the general procedure for the reaction with Grignard reagents, from 2c (300 mg, 1.22 mmol) and phenylmagnesium bromide (1 M in THF, 7.32 mL, 7.32 mmol), 13a (241 mg, 61%) was obtained after purification by column chromatography (Florisil®, 1:1 EtOAc–hexane to EtOAc): IR  $(NaCl)$  3385, 2959, 1618 cm<sup>-1</sup>; <sup>1</sup>H NMR (300 MHz, COSY, HETCOR):  $\delta$  0.63 (m, 1H, CH<sub>3</sub>CH<sub>2</sub>), 0.72 (t,  $J = 7.5$  Hz, 3H, CH<sub>3</sub>CH<sub>2</sub>), 1.03 (m, 1H, CH<sub>3</sub>CH<sub>2</sub>), 1.50 (m, 1H, H-5), 1.59–1.70 (m, 2H, H-4), 2.62 (ddd,  $J = 18.3, 10.5, 8.1, 1H, H-3$ , 2.79 (ddd,  $J = 18.3, 7.2$ , 0.9 Hz, 1H, H-3), 3.5 and 3.61 (2dd,  $J = 11.4$ , 8.4 Hz,  $2H$ , H-2'), 4.14 (dd,  $J = 4.2$ , 0.6 Hz, 1H, H-6), 5.94 (d,  $J = 8.4$  Hz, 1H, H-1'), 7.02–7.05 (m, 2H, HAr), 7.15–7.18  $(m, 2H, HAr), 7.26-7.36$  (m, 8H, HAr); <sup>13</sup>C NMR (75.4 MHz):  $\delta$  11.3 (CH<sub>3</sub>CH<sub>2</sub>), 20.7 (C-4), 24.9 (CH<sub>3</sub>CH<sub>2</sub>), 31.5 (C-3), 40.7 (C-5), 59.9 (C-1'), 60.9 (C-6), 61.9 (C-2'), 127.6 (CHAr), 127.7 (CHAr), 127.9 (2CHAr), 128.0 (2CHAr), 128.3 (2CHAr), 128.4 (2CHAr), 136.6 (C i), 138.1 (C i), 171.6 (NCO);  $[\alpha]_D^{22} = -126.1$  (c 0.23, MeOH); mp  $124-126$  °C (EtOAc–Et<sub>2</sub>O–MeOH);  $m/z$  324 (M<sup>+2</sup>, 100), 306 (25), 292 (9), 204 (47); HMRS calcd for  $C_{21}H_{26}NO_2$  324.197, found 324.196.

#### 4.6. General procedure for  $Na/NH_3$  reaction

Into a three-necked, 100 mL round-bottomed flask equipped with a coldfinger condenser charged with dry ice–acetone, was condensed  $NH_3$  at  $-78$  °C. The temperature was raised to  $-33$  °C, and a solution of the lactam in THF was added, followed by the addition of sodium metal in small portions until the blue color persisted. After the mixture was stirred at  $-33$  °C for 5 min, the reaction was quenched by the addition of solid NH4Cl until the blue colour disappeared. The mixture was stirred at rt for 4 h, poured into water and extracted with  $Et<sub>2</sub>O$ . The combined organic extracts were dried and concentrated to give a residue, which was chromatographed.

4.6.1. (5R,6R)-5-Ethyl-6-(3-indolyl)-2-piperidone, 14. Operating as described in the general procedure, from 3a  $(80 \text{ mg}, 0.22 \text{ mmol})$  in THF  $(5 \text{ mL})$  and NH<sub>3</sub>  $(15 \text{ mL})$ , a residue was obtained. Purification by column chromatography  $(SiO<sub>2</sub>, EtOAc)$  afforded 14 (43 mg, 80%): IR (NaCl)  $3247, 2961, 1646$  cm<sup>-1</sup>; <sup>1</sup>H NMR (300 MHz):  $\delta$  0.85 (t,  $J = 7.5$  Hz, 3H, CH<sub>3</sub>CH<sub>2</sub>), 1.16 (m, 1H, CH<sub>2</sub>CH<sub>3</sub>), 1.38– 1.61 (m, 2H), 1.90 (m, 1H), 2.05 (m, 1H), 2.43–2.62 (m, 2H, H-3), 4.44 (d,  $J = 8.7$  Hz, 1H, H-6), 5.90 (br s, 1H, NH), 7.08–7.13 (m, 2H, HAr), 7.20 (t,  $J = 6.9$  Hz, 1H, HAr), 7.39 (d,  $J = 8.1$  Hz, 1H, HAr) 7.59 (t,  $J = 7.5$  Hz, 1H, HAr), 8.72 (br s, 1H, NH); <sup>13</sup>C NMR (75.4 MHz):  $\delta$ 11.1 ( $CH_3CH_2$ ), 24.5, 24.9 ( $CH_3CH_2$ , C-4), 30.9 (C-3), 40.9 (C-5), 56.0 (C-6), 111.6 (CHAr), 116.2 (Car), 119.0 (CHAr), 119.7 (CHAr), 122.3 (CHAr), 122.7 (CHAr), 125.4 (Car), 136.6 (Car), 172.2 (NCO); m/z 242 (76), 186 (72), 143 (100). HRMS calcd for  $C_{15}H_{18}N_2O$ , 242.1419; found 242.1411. Anal. Calcd for  $C_{15}H_{18}N_2O·1/3CH_2Cl_2$ : C, 68.11; H, 6.96; N, 10.36. Found: C, 67.85; H, 6.93; N, 10.11.

4.6.2. (5R,6S)-5,6-Diethyl-2-piperidone, 15. Operating as described in the general procedure, from 7a (80 mg, 0.29 mmol) in THF (5 mL) and  $NH_3$  (15 mL), a residue was obtained. Purification by column chromatography (SiO<sub>2</sub>, EtOAc) afforded 15 (38 mg,  $84\%$ ): IR (NaCl) 3209,  $2962, 1666$  cm<sup>-1</sup>; <sup>1</sup>H NMR (400 MHz, COSY, HETCOR):  $\delta$  0.92 (t, J = 7.2 Hz, 3H, CH<sub>3</sub>CH<sub>2</sub>), 0.95 (t, J = 7.6 Hz,  $3H, CH_3CH_2$ ), 1.24 (m, 1H, CH<sub>3</sub>CH<sub>2</sub>), 1.37–1.67 (m, 5H, H-4, H-5,  $CH_3CH_2$ ), 1.93 (m, 1H, H-4), 2.26 (ddd,  $J = 18.0, 9.6, 6.0$  Hz, 1H, H-3), 2.39 (dt,  $J = 18.0, 4.8$  Hz, 1H, H-3), 3.06 (dd,  $J = 10.8$ , 6.4 Hz, 1H, H-6), 6.36 (br s, 1H, NH); <sup>13</sup>C NMR (100.6 MHz):  $\delta$  8.9 (CH<sub>3</sub>CH<sub>2</sub>), 11.1  $(CH_3CH_2)$ , 23.9, 24.1, 27.2 (2CH<sub>3</sub>CH<sub>2</sub>, C-4), 30.1 (C-3), 37.5 (C-5), 58.1 (C-6), 172.7 (NCO);  $\left[\alpha\right]_D^{22} = +5.0$  (c 0.08, MeOH).

4.6.3. (5R,6R)-5-Ethyl-6-phenyl-2-piperidone, 16. Operating as described in the general procedure, from 9a  $(175 \text{ mg}, 0.54 \text{ mmol})$  in THF  $(10 \text{ mL})$  and NH<sub>3</sub>  $(25 \text{ mL})$ , a residue was obtained. Purification by column chromatography (EtOAc) afforded 16 (79 mg, 72%): IR (NaCl) 3216,  $2960, 1655 \text{ cm}^{-1}$ ; <sup>1</sup>H NMR (300 MHz, COSY, HETCOR):  $\delta$  0.85 (t, J = 7.2 Hz, 3H, CH<sub>3</sub>CH<sub>2</sub>), 1.14 (m, 1H,  $CH_3CH_2$ ), 1.38 (m, 1H,  $CH_3CH_2$ ), 1.48–1.68 (m, 2H, H-4, H-5), 2.02 (m, 1H, H-4), 2.43 (m, 1H, H-3), 2.53 (m, 1H, H-3), 4.12 (d,  $J = 8.7$  Hz, 1H, H-6), 5.89 (br s, 1H, NH), 7.25–7.28 (m, 2H, HAr), 7.30–7.39 (m, 3H, HAr); <sup>13</sup>C NMR (75.4 MHz):  $\delta$  10.9 (CH<sub>3</sub>CH<sub>2</sub>), 23.8 (CH<sub>3</sub>CH<sub>2</sub>), 24.4 (C-4), 30.7 (C-3), 42.2 (C-5), 62.9 (C-6), 127.1 (2CHAr), 127.9 (CHAr), 128.5 (2CHAr), 141.4 (C i), 172.7 (NCO);  $[\alpha]_D^{22} = -10.4$  (c 0.27, MeOH).

4.6.4. (5R,6S)-5-Ethyl-6-vinyl-2-piperidone, 17. Operating as described in the general procedure, from 10a (85 mg, 0.31 mmol) in THF  $(5 \text{ mL})$  and NH<sub>3</sub>  $(15 \text{ mL})$ , a residue was obtained. Purification by column chromatography (EtOAc) afforded  $(4R)$ -4-ethyl-5-heptenamide  $(35 \text{ mg})$ , 73%) and 17 (11 mg, 23%).  $(4R)$ -4-Ethyl-5-heptenamide: <sup>1</sup>H NMR (300 MHz):  $\delta$  0.85 (t, J = 7.6 Hz, 3H, CH<sub>3</sub>CH<sub>2</sub>), 1.20 (m, 1H), 1.34–1.51 (m, 2H), 1.66 (dd,  $J = 6.6$ , 1.8 Hz, 3H, CH<sub>3</sub>CH=), 1.69–1.83 (m, 2H), 2.07–2.29 (m, 2H), 5.10  $(m, 1H, CH=), 5.35$   $(m, 1H, CH=);$  <sup>13</sup>C NMR (50.3 MHz):  $\delta$  11.8 (CH<sub>3</sub>), 18.0 (CH<sub>3</sub>), 28.4 (CH<sub>2</sub>), 30.7 (CH<sub>2</sub>), 34.0 (CH2), 44.4 (CH), 125.9 (CH), 134.7 (CH), 176.0 (NCO); m/z 155 (3), 138 (5), 126 (7), 97 (21), 59 (100). Compound 17: <sup>1</sup>H NMR (200 MHz):  $\delta$  0.94 (t,  $J = 7.2$  Hz, 3H, CH<sub>3</sub>CH<sub>2</sub>), 1.20–2.00 (m, 6H), 2.27–2.50 (m, 1H), 3.57 (m, 1H), 5.22 (m, 2H), 5.73 (ddd,  $J = 17.6$ , 9.8, 2.2 Hz, 1H);  $^{13}$ C NMR (50.3 MHz):  $\delta$  11.2 (CH<sub>3</sub>CH<sub>2</sub>), 24.1 (CH<sub>2</sub>), 29.8 (CH2), 30.7 (CH2), 39.7 (C-5), 61.4 (C-6), 118.1 (CH=CH<sub>2</sub>), 138.5 (CH=CH<sub>2</sub>), 172.0 (CON);  $m/z$  153 (11), 96 (19), 69 (28), 56 (100).

4.6.5. (5R,6R)-6-Allyl-5-ethyl-2-piperidone, 18. Operating as described in the general procedure, from 4b (280 mg,

<span id="page-7-0"></span>0.97 mmol) in THF (10 mL) and NH<sub>3</sub> (30 mL), a residue was obtained. Purification by column chromatography (SiO2, EtOAc) afforded 18 (118 mg, 73%): IR (NaCl)  $3207, 2959, 1663 \text{ cm}^{-1}$ ; <sup>1</sup>H NMR (300 MHz):  $\delta$  0.95 (t,  $J = 7.5$  Hz, 3H, CH<sub>3</sub>CH<sub>2</sub>), 1.22–1.50 (m, 2H), 1.70–1.82 (m, 3H), 2.02–2.12 (m, 1H), 2.23–2.39 (m, 3H), 3.44 (m, 1H, H-6), 5.13 (m, 1H, CH<sub>2</sub>=), 5.19 (br s, 1H, CH<sub>2</sub>=), 5.65–5.82 (m, 1H, CH<sub>2</sub>CH=), 6.11 (br s, 1H, NH); <sup>13</sup>C NMR (75.4 MHz):  $\delta$  (ppm): 11.4 (CH<sub>3</sub>CH<sub>2</sub>), 20.6 and 22.4 (C-4 and CH<sub>3</sub>CH<sub>2</sub>), 28.9 (C-3), 36.0 (CH<sub>2</sub>CH=CH<sub>2</sub>), 37.0 (C-5), 54.6 (C-6), 118.5 (CH=CH<sub>2</sub>), 133.9 (CH=CH<sub>2</sub>), 171.9 (NCO); mp 48–50 °C (EtOAc);  $\vec{[}\alpha]_{\text{D}}^{22} = +48.4$  (c 1.19, MeOH). Anal. Calcd for  $C_{10}H_{17}NO$ : C, 71.81; H, 10.25; N, 8.37. Found: C, 71.35; H, 10.37; N, 8.17.

4.6.6. (5S,6R)-5-Ethyl-6-phenyl-2-piperidone, 19. Operating as described in the general procedure, from 13a  $(95 \text{ mg}, 0.29 \text{ mmol})$  in THF  $(5 \text{ mL})$  and NH<sub>3</sub>  $(15 \text{ mL})$ , a residue was obtained. Purification by column chromatography  $(SiO_2, EtOAc)$  afforded 19 (45 mg, 75%): IR (NaCl) 2926, 1661 cm<sup>-1</sup>; <sup>1</sup>H NMR (300 MHz):  $\delta$  0.83-0.93 (m, 4H,  $CH_3CH_2$ ,  $CH_3CH_2$ ), 1.11–1.24 (m, 1H,  $CH_3CH_2$ ), 1.62–1.79 (m, 2H), 1.96 (m, 1H), 2.43 (m, 1H, H-3), 2.52 (dt,  $J = 4.5$ , 2.7 Hz, 1H, H-3), 4.65 (dd,  $J = 4.5$ , 2.7 Hz, 1H, H-6), 6.39 (br s, 1H, NH), 7.16–7.20 (m, 2H, HAr), 7.25–7.37 (m, 3H, HAr);  $^{13}$ C NMR (75.4 MHz):  $\delta$  11.6  $(CH_3CH_2)$ , 21.5 and 22.3 (C-4,  $CH_3CH_2$ ), 29.7 (C-3), 39.3 (C-5), 60.2 (C-6), 127.3 (2CHAr), 127.6 (CHAr), 128.1 (CHAr), 139.4 (C *i*), 171.9 (NCO); mp 75–77 °C (EtOAc);  $[\alpha]_D^{22'} = -75.6$  (c 0.53, MeOH).

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